

#10

AMENDMENT TRANSMITTAL LETTER (Small Entity)		Docket No.
Applicant(s): Brian C. TARBOX et al.		854737.0005 (TARB-101.1)

Serial No. 10/073,632	Filing Date February 11, 2002	Examiner ELLA COLBERT	Group Art Unit 2104 3624
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Invention: **SYSTEMS AND METHODS FOR IMPROVING INVESTMENT PERFORMANCE**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☒ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

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ADDITIONAL
FEE

CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	62 -	20 =	42 x	\$9.00	\$378.00
INDEP. CLAIMS	11 -	5 =	6 x	\$42.00	\$252.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$630.00

- ☐ No additional fee is required for amendment.
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 - ☒ Any additional filing fees required under 37 C.F.R. 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.

Anna Kobilansky
Signature

Dated: **July 15, 2003**

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07/21/2003 SWILLIAR 00000003 10073632
252.00 OP
378.00 OP
01 FD:2201
02 FD:2202

cc:

854737.0005 (TARB-101.1)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Brian C. Tarbox et al.)	Examiner:
)	3624
Serial No.: 10/073,632)	Group Art Unit: 2164
)	
Filed: February 11, 2002)	Confirmation No.: 6664
)	
For: SYSTEMS AND METHODS FOR)	
IMPROVING INVESTMENT)	
PERFORMANCE)	
)	

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PRELIMINARY AMENDMENT

Sir:

Prior to the examination of the above-referenced application, please amend this application as follows: